

BREYER, J., dissenting

SUPREME COURT OF THE UNITED STATES

No. 04–1360

BOOKER T. HUDSON, JR., PETITIONER *v.* MICHIGAN

ON WRIT OF CERTIORARI TO THE COURT OF APPEALS OF
MICHIGAN

[June 15, 2006]

JUSTICE BREYER, with whom JUSTICE STEVENS, JUSTICE SOUTER, and JUSTICE GINSBURG join, dissenting.

In *Wilson v. Arkansas*, 514 U. S. 927 (1995), a unanimous Court held that the Fourth Amendment normally requires law enforcement officers to knock and announce their presence before entering a dwelling. Today’s opinion holds that evidence seized from a home following a violation of this requirement need not be suppressed

As a result, the Court destroys the strongest legal incentive to comply with the Constitution’s knock-and-announce requirement. And the Court does so without significant support in precedent. At least I can find no such support in the many Fourth Amendment cases the Court has decided in the near century since it first set forth the exclusionary principle in *Weeks v. United States*, 232 U. S. 383 (1914). See Appendix, *infra*.

Today’s opinion is thus doubly troubling. It represents a significant departure from the Court’s precedents. And it weakens, perhaps destroys, much of the practical value of the Constitution’s knock-and-announce protection.

I

This Court has set forth the legal principles that ought to have determined the outcome of this case in two sets of basic Fourth Amendment cases. I shall begin by describ-

BREYER, J., dissenting

ing that underlying case law.

A

The first set of cases describes the constitutional knock-and-announce requirement, a requirement that this Court initially set forth only 11 years ago in *Wilson v. Arkansas*, *supra*. Cf. *Sabbath v. United States*, 391 U. S. 585 (1968) (suppressing evidence seized in violation of federal statutory knock-and-announce requirement); *Miller v. United States*, 357 U. S. 301 (1958) (same). In *Wilson*, tracing the lineage of the knock-and-announce rule back to the 13th century, 514 U. S., at 932, we wrote that

“[a]n examination of the common law of search and seizure leaves no doubt that the reasonableness of a search of a dwelling may depend in part on whether law enforcement officers announced their presence and authority prior to entering.” *Id.*, at 931.

We noted that this “basic principle” was agreed upon by “[s]everal prominent founding-era commentators,” *id.*, at 932, and “was woven quickly into the fabric of early American law” via state constitutions and statutes, *id.*, at 933. We further concluded that there was

“little doubt that the Framers of the Fourth Amendment thought that the method of an officer’s entry into a dwelling was among the factors to be considered in assessing the reasonableness of a search or seizure.” *Id.*, at 934.

And we held that the “common-law ‘knock and announce’ principle forms a part of the reasonableness inquiry under the Fourth Amendment.” *Id.*, at 929. Thus, “a search or seizure of a dwelling might be constitutionally defective if police officers enter without prior announcement.” *Id.*, at 936; see *United States v. Banks*, 540 U. S. 31, 36 (2003); *United States v. Ramirez*, 523 U. S. 65, 70 (1998); *Richards v. Wisconsin*, 520 U. S. 385, 387 (1997).

BREYER, J., dissenting

B

The second set of cases sets forth certain well-established principles that are relevant here. They include:

Boyd v. United States, 116 U. S. 616 (1886). In this seminal Fourth Amendment case, decided 120 years ago, the Court wrote, in frequently quoted language, that the Fourth Amendment’s prohibitions apply

“to all invasions on the part of the government and its employés of the sanctity of a man’s home and the privacies of life. It is not the breaking of his doors, and the rummaging of his drawers, that constitutes the essence of the offence; but it is the invasion of his indefeasible right of personal security, personal liberty and private property.” *Id.*, at 630.

Weeks, supra. This case, decided 28 years after *Boyd*, originated the exclusionary rule. The Court held that the Federal Government could not retain evidence seized unconstitutionally and use that evidence in a federal criminal trial. The Court pointed out that “[i]f letters and private documents” could be unlawfully seized from a home “and used in evidence against a citizen accused of an offense, the protection of the Fourth Amendment declaring his right to be secure against such searches and seizures is of no value, and . . . might as well be stricken from the Constitution.” 232 U. S., at 393.

Silverthorne Lumber Co. v. United States, 251 U. S. 385 (1920). This case created an exception to (or a qualification of) *Weeks*’ exclusionary rule. The Court held that the Government could not use information obtained during an illegal search to subpoena documents that they illegally viewed during that search. Writing for the Court, Justice Holmes noted that the exclusionary rule “does not mean that the facts [unlawfully] obtained become sacred and inaccessible. If knowledge of them is gained from an

BREYER, J., dissenting

independent source they may be proved like any others” 251 U. S., at 392. *Silverthorne* thus stands for the proposition that the exclusionary rule does not apply if the evidence in question (or the “fruits” of that evidence) was obtained through a process unconnected with, and untainted by, the illegal search. Cf. *Nix v. Williams*, 467 U. S. 431, 444 (1984) (describing related “inevitable discovery” exception).

Wolf v. Colorado, 338 U. S. 25 (1949), and *Mapp v. Ohio*, 367 U. S. 643 (1961). Both of these cases considered whether *Weeks*’ exclusionary rule applies to the States. In *Wolf*, the Court held that it did not. It said that “[t]he security of one’s privacy against arbitrary intrusion by the police . . . is . . . implicit in ‘the concept of ordered liberty’ and as such enforceable against the States through the Due Process Clause.” 338 U. S., at 27–28. But the Court held that the exclusionary rule is not enforceable against the States as “an essential ingredient of the right.” *Id.*, at 29. In *Mapp*, the Court overruled *Wolf*. Experience, it said, showed that alternative methods of enforcing the Fourth Amendment’s requirements had failed. See 367 U. S., at 651–653; see, e.g., *People v. Cahan*, 44 Cal. 2d 434, 447, 282 P. 2d 905, 913 (1955) (Traynor, C. J.) (“Experience [in California] has demonstrated, however, that neither administrative, criminal nor civil remedies are effective in suppressing lawless searches and seizures”). The Court consequently held that “all evidence obtained by searches and seizures in violation of the Constitution is, by that same authority, inadmissible in a state court.” *Mapp*, 367 U. S., at 655. “To hold otherwise,” the Court added, would be “to grant the right but in reality to withhold its privilege and enjoyment.” *Id.*, at 656.

II

Reading our knock-and-announce cases, Part I–A, *supra*, in light of this foundational Fourth Amendment case

BREYER, J., dissenting

law, Part I–B, *supra*, it is clear that the exclusionary rule should apply. For one thing, elementary logic leads to that conclusion. We have held that a court must “conside[r]” whether officers complied with the knock-and-announce requirement “in assessing the reasonableness of a search or seizure.” *Wilson*, 514 U. S., at 934 (emphasis added); see *Banks*, 540 U. S., at 36. The Fourth Amendment insists that an unreasonable search or seizure is, constitutionally speaking, an illegal search or seizure. And ever since *Weeks* (in respect to federal prosecutions) and *Mapp* (in respect to state prosecutions), “the use of evidence secured through an illegal search and seizure” is “barred” in criminal trials. *Wolf*, *supra*, at 28 (citing *Weeks*); see *Mapp*, *supra*, at 655.

For another thing, the driving legal purpose underlying the exclusionary rule, namely, the deterrence of unlawful government behavior, argues strongly for suppression. See *Elkins v. United States*, 364 U. S. 206, 217 (1960) (purpose of the exclusionary rule is “to deter—to compel respect for the constitutional guaranty . . . by removing the incentive to disregard it”). In *Weeks*, *Silverthorne*, and *Mapp*, the Court based its holdings requiring suppression of unlawfully obtained evidence upon the recognition that admission of that evidence would seriously undermine the Fourth Amendment’s promise. All three cases recognized that failure to apply the exclusionary rule would make that promise a hollow one, see *Mapp*, *supra*, at 657, reducing it to “a form of words,” *Silverthorne*, *supra*, at 392, “of no value” to those whom it seeks to protect, *Weeks*, *supra*, at 393. Indeed, this Court in *Mapp* held that the exclusionary rule applies to the States in large part due to its belief that alternative state mechanisms for enforcing the Fourth Amendment’s guarantees had proved “worthless and futile.” 367 U. S., at 652.

Why is application of the exclusionary rule any the less necessary here? Without such a rule, as in *Mapp*, police

BREYER, J., dissenting

know that they can ignore the Constitution's requirements without risking suppression of evidence discovered after an unreasonable entry. As in *Mapp*, some government officers will find it easier, or believe it less risky, to proceed with what they consider a necessary search immediately and without the requisite constitutional (say, warrant or knock-and-announce) compliance. Cf. Mericli, *The Apprehension of Peril Exception to the Knock and Announce Rule—Part I*, 16 *Search and Seizure L. Rep.* 129, 130 (1989) (hereinafter Mericli) (noting that some “[d]rug enforcement authorities believe that safety for the police lies in a swift, surprising entry with overwhelming force—not in announcing their official authority”).

Of course, the State or the Federal Government may provide alternative remedies for knock-and-announce violations. But that circumstance was true of *Mapp* as well. What reason is there to believe that those remedies (such as private damages actions under 42 U. S. C. §1983), which the Court found inadequate in *Mapp*, can adequately deter unconstitutional police behavior here? See Kamisar, *In Defense of the Search and Seizure Exclusionary Rule*, 26 *Harv. J. L. & Pub. Pol’y* 119, 126–129 (2003) (arguing that “five decades of post-*Weeks* ‘freedom’ from the inhibiting effect of the federal exclusionary rule failed to produce any meaningful alternative to the exclusionary rule in any jurisdiction” and that there is no evidence that “times have changed” post-*Mapp*).

The cases reporting knock-and-announce violations are legion. See, e.g., 34 *Geo. L. J. Ann. Rev. Crim. Proc.* 31–35 (2005) (collecting court of appeals cases); Annot., 85 *A. L. R.* 5th 1 (2001) (collecting state-court cases); Brief for Petitioner 16–17 (collecting federal and state cases). Indeed, these cases of reported violations seem sufficiently frequent and serious as to indicate “a widespread pattern.” *Ante*, at 2 (KENNEDY, J., concurring in part and concurring in judgment). Yet the majority, like Michigan and the

BREYER, J., dissenting

United States, has failed to cite a single reported case in which a plaintiff has collected more than nominal damages solely as a result of a knock-and-announce violation. Even Michigan concedes that, “in cases like the present one . . . , damages may be virtually non-existent.” Brief for Respondent 35, n. 66; And Michigan’s *amici* further concede that civil immunities prevent tort law from being an effective substitute for the exclusionary rule at this time. Brief for Criminal Justice Legal Foundation 10; see also *Hope v. Pelzer*, 536 U. S. 730, 739 (2002) (difficulties of overcoming qualified immunity defenses).

As Justice Stewart, the author of a number of significant Fourth Amendment opinions, explained, the deterrent effect of damage actions “can hardly be said to be great,” as such actions are “expensive, time-consuming, not readily available, and rarely successful.” Stewart, *The Road to Mapp v. Ohio and Beyond: The Origins, Development and Future of the Exclusionary Rule in Search-and-Seizure Cases*, 83 Colum. L. Rev. 1365, 1388 (1983). The upshot is that the need for deterrence—the critical factor driving this Court’s Fourth Amendment cases for close to a century—argues with at least comparable strength for evidentiary exclusion here.

To argue, as the majority does, that new remedies, such as 42 U. S. C. §1983 actions or better trained police, make suppression unnecessary is to argue that *Wolf*, not *Mapp*, is now the law. (The Court recently rejected a similar argument in *Dickerson v. United States*, 530 U. S. 428, 441–442 (2000).) To argue that there may be few civil suits because violations may produce nothing “more than nominal injury” is to confirm, not to deny, the inability of civil suits to deter violations. See *ante*, at 11. And to argue without evidence (and despite myriad reported cases of violations, no reported case of civil damages, and Michigan’s concession of their nonexistence) that civil suits may provide deterrence because claims *may* “have been settled”

BREYER, J., dissenting

is, perhaps, to search in desperation for an argument. See *ibid.* Rather, the majority, as it candidly admits, has simply “assumed” that, “[a]s far as [it] know[s], civil liability is an effective deterrent,” *ibid.*, a support-free assumption that *Mapp* and subsequent cases make clear does not embody the Court’s normal approach to difficult questions of Fourth Amendment law.

It is not surprising, then, that after looking at virtually every pertinent Supreme Court case decided since *Weeks*, I can find no precedent that might offer the majority support for its contrary conclusion. The Court has, of course, recognized that not every Fourth Amendment violation necessarily triggers the exclusionary rule. *Ante*, at 4–5; cf. *Illinois v. Gates*, 462 U. S. 213, 223 (1983) (application of the exclusionary rule is a separate question from whether the Fourth Amendment has been violated). But the class of Fourth Amendment violations that do not result in suppression of the evidence seized, however, is limited.

The Court has declined to apply the exclusionary rule only:

- (1) where there is a specific reason to believe that application of the rule would “not result in appreciable deterrence,” *United States v. Janis*, 428 U. S. 433, 454 (1976); see, e.g., *United States v. Leon*, 468 U. S. 897, 919–920 (1984) (exception where searching officer executes defective search warrant in “good faith”); *Arizona v. Evans*, 514 U. S. 1, 14 (1995) (exception for clerical errors by court employees); *Walder v. United States*, 347 U. S. 62 (1954) (exception for impeachment purposes), or
- (2) where admissibility in proceedings other than criminal trials was at issue, see, e.g., *Pennsylvania Bd. of Probation and Parole v. Scott*, 524 U. S. 357, 364 (1998) (exception for parole revocation proceedings); *INS v. Lopez-Mendoza*, 468 U. S. 1032, 1050

BREYER, J., dissenting

(1984) (plurality opinion) (exception for deportation proceedings); *Janis, supra*, at 458 (exception for civil tax proceedings); *United States v. Calandra*, 414 U. S. 338, 348–350 (1974) (exception for grand jury proceedings); *Stone v. Powell*, 428 U. S. 465, 493–494 (1976) (exception for federal habeas proceedings).

Neither of these two exceptions applies here. The second does not apply because this case is an ordinary criminal trial. The first does not apply because (1) officers who violate the rule are not acting “as a reasonable officer would and should act in similar circumstances,” *Leon, supra*, at 920, (2) this case does not involve government employees other than police, *Evans, supra*, and (3), most importantly, the key rationale for any exception, “lack of deterrence,” is missing, see *Pennsylvania Bd. of Probation, supra*, at 364 (noting that the rationale for not applying the rule in noncriminal cases has been that the deterrence achieved by having the rule apply in those contexts is “minimal” because “application of the rule in the criminal trial context already provides significant deterrence of unconstitutional searches”); *Michigan v. Tucker*, 417 U. S. 433, 447 (1974) (noting that deterrence rationale would not be served if rule applied to police officers acting in good faith, as the “deterrent purpose of the exclusionary rule necessarily assumes that the police have engaged in willful, or at the very least negligent, conduct”). That critical latter rationale, which underlies every exception, does not apply here, as there is no reason to think that, in the case of knock-and-announce violations by the police, “the exclusion of evidence at trial would not sufficiently deter future errors,” *Evans, supra*, at 14, or “further the ends of the exclusionary rule in any appreciable way,” *Leon, supra*, at 919–920.

I am aware of no other basis for an exception. The Court has decided more than 300 Fourth Amendment

BREYER, J., dissenting

cases since *Weeks*. The Court has found constitutional violations in nearly a third of them. See W. Greenhalgh, *The Fourth Amendment Handbook: A Chronological Survey of Supreme Court Decisions 27–130* (2d ed. 2003) (collecting and summarizing 332 post-*Weeks* cases decided between 1914 and 2002). The nature of the constitutional violation varies. In most instances officers lacked a warrant; in others, officers possessed a warrant based on false affidavits; in still others, the officers executed the search in an unconstitutional manner. But in every case involving evidence seized during an illegal search of a home (federally since *Weeks*, nationally since *Mapp*), the Court, with the exceptions mentioned, has either explicitly or implicitly upheld (or required) the suppression of the evidence at trial. See Appendix, *infra*. In not one of those cases did the Court “questio[n], in the absence of a more efficacious sanction, the continued application of the [exclusionary] rule to suppress evidence from the State’s case” in a criminal trial. *Franks v. Delaware*, 438 U. S. 154, 171 (1978).

I can find nothing persuasive in the majority’s opinion that could justify its refusal to apply the rule. It certainly is not a justification for an exception here (as the majority finds) to find odd instances in *other* areas of law that do not automatically demand suppression. *Ante*, at 10 (suspect confesses, police beat him up *afterwards*; suspect confesses, *then* police apparently arrest him, take him to station, and refuse to tell him of his right to counsel). Nor can it justify an exception to say that *some* police may knock at the door anyway (to avoid being mistaken for a burglar), for other police (believing quick entry is the most secure, effective entry) will not voluntarily do so. Cf. Mericli 130 (describing Special Weapons and Tactics (SWAT) team practices); R. Balko, *No SWAT* (Apr. 6, 2006), available at http://www.cato.org/pub_display.php?pub_id=6344 (all In-

BREYER, J., dissenting

ternet materials as visited June 7, 2006, and available in Clerk of Court’s case file).

Neither can the majority justify its failure to respect the need for deterrence, as set forth consistently in the Court’s prior case law, through its claim of “substantial social costs”—at least if it means that those “social costs” are somehow special here. The only costs it mentions are those that typically accompany *any* use of the Fourth Amendment’s exclusionary principle: (1) that where the constable blunders, a guilty defendant may be set free (consider *Mapp* itself); (2) that defendants may assert claims where Fourth Amendment rights are uncertain (consider the Court’s qualified immunity jurisprudence), and (3) that sometimes it is difficult to decide the merits of those uncertain claims. See *ante*, at 8–9. In fact, the “no-knock” warrants that are provided by many States, by diminishing uncertainty, may make application of the knock-and-announce principle less “cost[ly]” on the whole than application of comparable Fourth Amendment principles, such as determining whether a particular warrantless search was justified by exigency. The majority’s “substantial social costs” argument is an argument against the Fourth Amendment’s exclusionary principle itself. And it is an argument that this Court, until now, has consistently rejected.

III

The majority, Michigan, and the United States make several additional arguments. In my view, those arguments rest upon misunderstandings of the principles underlying this Court’s precedents.

A

The majority first argues that “the constitutional violation of an illegal *manner* of entry was *not* a but-for cause of obtaining the evidence.” *Ante*, at 5. But taking causa-

BREYER, J., dissenting

tion as it is commonly understood in the law, I do not see how that can be so. See W. Keeton, D. Dobbs, R. Keeton, & D. Owen, *Prosser and Keeton on Law of Torts* 266 (5th ed. 1984). Although the police might have entered Hudson's home lawfully, they did not in fact do so. Their unlawful behavior inseparably characterizes their actual entry; that entry was a necessary condition of their presence in Hudson's home; and their presence in Hudson's home was a necessary condition of their finding and seizing the evidence. At the same time, their discovery of evidence in Hudson's home was a readily foreseeable consequence of their entry and their unlawful presence within the home. Cf. 2 Restatement (Second) of Torts §435 (1963–1964).

Moreover, separating the “manner of entry” from the related search slices the violation too finely. As noted, Part I–A, *supra*, we have described a failure to comply with the knock-and-announce rule, not as an independently unlawful event, but as a factor that renders the *search* “constitutionally defective.” *Wilson*, 514 U. S., at 936; see also *id.*, at 934 (compliance with the knock-and-announce requirement is one of the “factors to be considered in assessing the *reasonableness of a search or seizure*” (emphasis added)); *Ker v. California*, 374 U. S. 23, 53 (1963) (opinion of Brennan, J.) (“[A] lawful entry is the indispensable predicate of a reasonable search”).

The Court nonetheless accepts Michigan's argument that the requisite but-for-causation is not satisfied in this case because, whether or not the constitutional violation occurred (what the Court refers to as a “preliminary misstep”), “the police would have executed the warrant they had obtained, and would have discovered the gun and drugs inside the house.” *Ante*, at 5. As support for this proposition, Michigan rests on this Court's inevitable discovery cases.

This claim, however, misunderstands the inevitable

BREYER, J., dissenting

discovery doctrine. Justice Holmes in *Silverthorne*, in discussing an “independent source” exception, set forth the principles underlying the inevitable discovery rule. See *supra*, at 4. That rule does not refer to discovery that would have taken place if the police behavior in question had (contrary to fact) been lawful. The doctrine does not treat as critical what *hypothetically could* have happened had the police acted lawfully in the first place. Rather, “independent” or “inevitable” discovery refers to discovery that did occur or that would have occurred (1) *despite* (not simply *in the absence of*) the unlawful behavior and (2) *independently* of that unlawful behavior. The government cannot, for example, avoid suppression of evidence seized without a warrant (or pursuant to a defective warrant) simply by showing that it could have obtained a valid warrant had it sought one. See, e.g., *Coolidge v. New Hampshire*, 403 U. S. 443, 450–451 (1971). Instead, it must show that the same evidence “inevitably *would* have been discovered *by lawful means*.” *Nix v. Williams*, 467 U. S., at 444 (emphasis added). “What a man *could* do is not at all the same as what he *would* do.” Austin, *Ifs And Cans*, 42 Proceedings of the British Academy 109, 111–112 (1956).

The inevitable discovery exception rests upon the principle that the remedial purposes of the exclusionary rule are not served by suppressing evidence discovered through a “later, *lawful* seizure” that is “*genuinely independent* of an earlier, tainted one.” *Murray v. United States*, 487 U. S. 533, 542 (1988) (emphasis added); see also *id.*, at 545 (Marshall, J., joined by STEVENS and O’Connor, JJ., dissenting) (“When the seizure of the evidence at issue is ‘wholly independent of’ the constitutional violation, then exclusion arguably will have no effect on a law enforcement officer’s incentive to commit an unlawful search”).

Case law well illustrates the meaning of this principle. In *Nix, supra*, police officers violated a defendant’s Sixth

BREYER, J., dissenting

Amendment right by eliciting incriminating statements from him after he invoked his right to counsel. Those statements led to the discovery of the victim's body. The Court concluded that evidence obtained from the victim's body was admissible because it would ultimately or inevitably have been discovered by a volunteer search party effort that was ongoing—whether or not the Sixth Amendment violation had taken place. *Id.*, at 449. In other words, the evidence would have been found *despite*, and *independent of*, the Sixth Amendment violation.

In *Segura v. United States*, 468 U. S. 796 (1984), one of the “trio of cases” JUSTICE SCALIA says “confirms [the Court’s] conclusion,” *ante*, at 13, the Court held that an earlier illegal entry into an apartment did not require suppression of evidence that police later seized when executing a search warrant obtained on the basis of information unconnected to the initial entry. The Court reasoned that the “evidence was discovered the day following the entry, *during the search conducted under a valid warrant*”—*i.e.*, a warrant obtained independently without use of any information found during the illegal entry—and that “it was the product of *that* search, wholly unrelated to the prior [unlawful] entry.” *Segura, supra*, at 814 (emphasis added).

In *Murray, supra*, the Court upheld the admissibility of seized evidence where agents entered a warehouse without a warrant, and then later returned with a valid warrant that was not obtained on the basis of evidence observed during the first (illegal) entry. The Court reasoned that while the agents’ “[k]nowledge that the marijuana was in the warehouse was assuredly acquired at the time of the unlawful entry . . . it was *also* acquired at the time of entry pursuant to the warrant, and *if that later acquisition was not the result of the earlier entry* there is no reason why the independent source doctrine should not apply.” *Id.*, at 541 (emphasis added).

BREYER, J., dissenting

Thus, the Court's opinion reflects a misunderstanding of what "inevitable discovery" means when it says, "[i]n this case, of course, the constitutional violation of an illegal *manner* of entry was *not* a but-for cause of obtaining the evidence." *Ante*, at 5. The majority rests this conclusion on its next statement: "Whether that preliminary misstep has occurred *or not*, the police . . . would have discovered the gun and the drugs inside the house." *Ibid*. Despite the phrase "of course," neither of these statements is correct. It is not true that, had the illegal entry not occurred, "police would have discovered the guns and drugs inside the house." Without that unlawful entry they would not have been inside the house; so there would have been no discovery. See *supra*, at 12.

Of course, had the police entered the house lawfully, they would have found the gun and drugs. But that fact is beside the point. The question is not what police might have done had they not behaved unlawfully. The question is what they did do. Was there set in motion an independent chain of events that would have inevitably led to the discovery and seizure of the evidence despite, and independent of, that behavior? The answer here is "no."

B

The majority, Michigan, and the United States point out that the officers here possessed a warrant authorizing a search. *Ante*, at 5. That fact, they argue, means that the evidence would have been discovered independently or somehow diminishes the need to suppress the evidence. But I do not see why that is so. The warrant in question was not a "no-knock" warrant, which many States (but not Michigan) issue to assure police that a prior knock is not necessary. *Richards*, 520 U. S., at 396, n. 7 (collecting state statutes). It did not authorize a search that fails to comply with knock-and-announce requirements. Rather, it was an ordinary search warrant. It authorized a search

BREYER, J., dissenting

that *complied with*, not a search that *disregarded*, the Constitution’s knock-and-announce rule.

Would a warrant that authorizes entry into a home on Tuesday permit the police to enter on Monday? Would a warrant that authorizes entry during the day authorize the police to enter during the middle of the night? It is difficult for me to see how the presence of a warrant that does not authorize the entry in question has anything to do with the “inevitable discovery” exception or otherwise diminishes the need to enforce the knock-and-announce requirement through suppression.

C

The majority and the United States set forth a policy-related variant of the causal connection theme: The United States argues that the law should suppress evidence only insofar as a Fourth Amendment violation causes the kind of harm that the particular Fourth Amendment rule seeks to protect against. It adds that the constitutional purpose of the knock-and-announce rule is to prevent needless destruction of property (such as breaking down a door) and to avoid unpleasant surprise. And it concludes that the exclusionary rule should suppress evidence of, say, damage to property, the discovery of a defendant in an “intimate or compromising moment,” or an excited utterance from the occupant caught by surprise, but nothing more. Brief for United States as *Amicus Curiae* 12, 28.

The majority makes a similar argument. It says that evidence should not be suppressed once the causal connection between unlawful behavior and discovery of the evidence becomes too “attenuated.” *Ante*, at 5. But the majority then makes clear that it is not using the word “attenuated” to mean what this Court’s precedents have typically used that word to mean, namely, that the discovery of the evidence has come about long after the unlawful

BREYER, J., dissenting

behavior took place or in an independent way, *i.e.*, through “means sufficiently distinguishable to be purged of the primary taint.” *Wong Sun v. United States*, 371 U. S. 471, 487–488 (1963); see *Brown v. Illinois*, 422 U. S. 590, 603–604 (1975).

Rather, the majority gives the word “attenuation” a new meaning (thereby, in effect, making the same argument as the United States). “Attenuation,” it says, “also occurs when, even given a direct causal connection, the interest protected by the constitutional guarantee that has been violated would not be served by suppression of the evidence obtained.” *Ante*, at 6. The interests the knock-and-announce rule seeks to protect, the Court adds, are “human life” (at stake when a householder is “surprised”), “property” (such as the front door), and “those elements of privacy and dignity that can be destroyed by a sudden entrance,” namely, “the opportunity to collect oneself before answering the door.” *Ante*, at 7. Since none of those interests led to the discovery of the evidence seized here, there is no reason to suppress it.

There are three serious problems with this argument. First, it does not fully describe the constitutional values, purposes, and objectives underlying the knock-and-announce requirement. That rule does help to protect homeowners from damaged doors; it does help to protect occupants from surprise. But it does more than that. It protects the occupants’ privacy by assuring them that government agents will not enter their home without complying with those requirements (among others) that diminish the offensive nature of any such intrusion. Many years ago, Justice Frankfurter wrote for the Court that the “knock at the door, . . . as a prelude to a search, without authority of law . . . [is] inconsistent with the conception of human rights enshrined in [our] history” and Constitution. *Wolf*, 338 U. S., at 28. How much the more offensive when the search takes place without any knock

BREYER, J., dissenting

at all. Cf. *Wilson*, 514 U. S., at 931 (knock-and-announce rule recognizes that “the common law generally protected a man’s house as ‘his castle of defence and asylum’” (quoting 3 W. Blackstone, Commentaries *288)); *Miller*, 357 U. S., at 313 (federal knock-and-announce statute “codi[fied] a tradition embedded in Anglo-American law” that reflected “the reverence of the law for the individual’s right of privacy in his house”).

Over a century ago this Court wrote that “it is not the breaking of his doors” that is the “essence of the offence,” but the “invasions on the part of the government . . . of the sanctity of a man’s home and the privacies of life.” *Boyd*, 116 U. S., at 630. And just this Term we have reiterated that “it is beyond dispute that the home is entitled to special protection as the center of the private lives of our people.” *Georgia v. Randolph*, 547 U. S. ___, ___ (2006) (slip op., at 10) (quoting *Minnesota v. Carter*, 525 U. S. 83, 99 (1998) (KENNEDY, J., concurring)). The knock-and-announce requirement is no less a part of the “centuries-old principle” of special protection for the privacy of the home than the warrant requirement. See 547 U. S., at ___ (slip op., at 10) (citing *Miller*, *supra*, at 307). The Court is therefore wrong to reduce the essence of its protection to “the right not to be intruded upon in one’s nightclothes.” *Ante*, at 10; see *Richards*, 520 U. S., at 393, n. 5 (“[I]ndividual privacy interest[s]” protected by the rule are “not inconsequential” and “should not be unduly minimized”).

Second, whether the interests underlying the knock-and-announce rule are implicated in any given case is, in a sense, beside the point. As we have explained, failure to comply with the knock-and-announce rule renders the related search unlawful. *Wilson*, *supra*, at 936. And where a search is unlawful, the law insists upon suppression of the evidence consequently discovered, even if that evidence or its possession has little or nothing to do with

BREYER, J., dissenting

the reasons underlying the unconstitutionality of a search. The Fourth Amendment does not seek to protect contraband, yet we have required suppression of contraband seized in an unlawful search. See, e.g., *Kyllo v. United States*, 533 U. S. 27, 40 (2001); *Coolidge*, 403 U. S., at 473. That is because the exclusionary rule protects more general “privacy values through deterrence of future police misconduct.” *James v. Illinois*, 493 U. S. 307, 319 (1990). The same is true here.

Third, the majority’s interest-based approach departs from prior law. Ordinarily a court will simply look to see if the unconstitutional search produced the evidence. The majority does not refer to any relevant case in which, beyond that, suppression turned on the far more detailed relation between, say, (1) a particular materially false statement made to the magistrate who issued a (consequently) invalid warrant and (2) evidence found after a search with that warrant. But cf. *ante*, at 15, n. 2 (plurality opinion) (citing *New York v. Harris*, 495 U. S. 14 (1990), as such a case in section of opinion that JUSTICE KENNEDY does not join). And the majority’s failure does not surprise me, for such efforts to trace causal connections at retail could well complicate Fourth Amendment suppression law, threatening its workability.

D

The United States, in its brief and at oral argument, has argued that suppression is “an especially harsh remedy given the nature of the violation in this case.” Brief for United States as *Amicus Curiae* 28; see also *id.*, at 24. This argument focuses upon the fact that entering a house after knocking and announcing can, in some cases, prove dangerous to a police officer. Perhaps someone inside has a gun, as turned out to be the case here. The majority adds that police officers about to encounter someone who may try to harm them will be “uncertain” as to how long to

BREYER, J., dissenting

wait. *Ante*, at 9. It says that, “[i]f the consequences of running afoul” of the knock-and-announce “rule were so massive,” *i.e.*, would lead to the exclusion of evidence, then “officers would be inclined to wait longer than the law requires—producing preventable violence against officers in some cases.” *Ante*, at 8–9.

To argue that police efforts to assure compliance with the rule may prove dangerous, however, is not to argue against evidence suppression. It is to argue against the validity of the rule itself. Similarly, to argue that enforcement means uncertainty, which in turn means the potential for dangerous and longer-than-necessary delay, is (if true) to argue against meaningful compliance with the rule.

The answer to the first argument is that the rule itself does not require police to knock or to announce their presence where police have a “reasonable suspicion” that doing so “would be dangerous or futile” or “would inhibit the effective investigation of the crime by, for example, allowing the destruction of evidence.” *Richards, supra*, at 394; see *Banks*, 540 U. S., at 36–37; *Wilson, supra*, at 935–936.

The answer to the second argument is that States can, and many do, reduce police uncertainty while assuring a neutral evaluation of concerns about risks to officers or the destruction of evidence by permitting police to obtain a “no-knock” search warrant from a magistrate judge, thereby assuring police that a prior announcement is not necessary. *Richards*, 520 U. S., at 396, n. 7 (collecting state statutes). While such a procedure cannot remove all uncertainty, it does provide an easy way for officers to comply with the knock-and-announce rule.

Of course, even without such a warrant, police maintain the backup “authority to exercise independent judgment concerning the wisdom of a no-knock entry at the time the warrant is being executed.” *Ibid.* “[I]f circumstances support a reasonable suspicion of exigency when the offi-

BREYER, J., dissenting

cers arrive at the door, they may go straight in.” *Banks, supra*, at 37. And “[r]easonable suspicion is a less demanding standard than probable cause” *Alabama v. White*, 496 U. S. 325, 330 (1990); see *Terry v. Ohio*, 392 U. S. 1, 21–22 (1968) (no Fourth Amendment violation under the reasonable suspicion standard if “the facts available to the officer at the moment of the seizure or the search ‘warrant a man of reasonable caution in the belief that the action taken was appropriate’”).

Consider this very case. The police obtained a search warrant that authorized a search, not only for drugs, but also for *guns*. App. 5. If probable cause justified a search for guns, why would it not also have justified a no-knock warrant, thereby diminishing any danger to the officers? Why (in a State such as Michigan that lacks no-knock warrants) would it not have justified the very no-knock entry at issue here? Indeed, why did the prosecutor not argue in this very case that, given the likelihood of guns, the no-knock entry was lawful? From what I have seen in the record, he would have won. And had he won, there would have been no suppression here.

That is the right way to win. The very process of arguing the merits of the violation would help to clarify the contours of the knock-and-announce rule, contours that the majority believes are too fuzzy. That procedural fact, along with no-knock warrants, back up authority to enter without knocking regardless, and use of the “reasonable suspicion” standard for doing so should resolve the government’s problems with the knock-and-announce rule while reducing the “uncertain[ty]” that the majority discusses to levels beneath that found elsewhere in Fourth Amendment law (*e.g.*, exigent circumstances). *Ante*, at 8. Regardless, if the Court fears that effective enforcement of a constitutional requirement will have harmful consequences, it should face those fears directly by addressing the requirement itself. It should not argue, “the require-

BREYER, J., dissenting

ment is fine, indeed, a serious matter, just don't enforce it."

E

It should be apparent by now that the three cases upon which JUSTICE SCALIA relies—*Segura v. United States*, 468 U. S. 796; *New York v. Harris*, 495 U. S. 14; and *Ramirez*, 523 U. S. 65—do not support his conclusion. See *ante*, at 13–15. Indeed, JUSTICE KENNEDY declines to join this section of the lead opinion because he fails to see the relevance of *Segura* and *Harris*, though he does rely on *Ramirez*. *Ante*, at 3 (opinion concurring in part and concurring in judgment).

JUSTICE SCALIA first argues that, if the “search in *Segura* could be ‘wholly unrelated to the prior entry, . . . when the only entry was warrantless, it would be bizarre to treat more harshly the actions in this case, where the only entry was *with* a warrant.” *Ante*, at 14. Then it says that, “[i]f the probable cause backing a warrant that was issued *later in time* could be an ‘independent source’ for a search that proceeded after the officers illegally entered and waited, a search warrant obtained *before* going in must have at least this much effect.” *Ibid.* I do not understand these arguments. As I have explained, the presence of a warrant that did not authorize a search that fails to comply with knock-and-announce requirements is beside the point. See Part III–B, *supra*. And the timing of the warrant in *Segura* made no difference to the case. The relevant fact about the warrant there was that it was lawfully obtained and arguably set off an independent chain of events that led the police to seize the evidence. 468 U. S., at 814; see also *id.*, at 814–815 (“The valid warrant search was a ‘means sufficiently distinguishable’ to purge the evidence of any ‘taint’ arising from the entry” (citations omitted)). As noted, there is no such independent event, or intervening chain of events that would purge

BREYER, J., dissenting

the taint of the illegal entry, present here. See *supra*, at 15. The search that produced the relevant evidence here is the very search that the knock-and-announce violation rendered unlawful. There simply is no “independent source.”

As importantly, the Court in *Segura* said nothing to suggest it intended to create a major exclusionary rule exception, notwithstanding the impact of such an exception on deterrence. Indeed, such an exception would be inconsistent with a critical rationale underlying the independent source and inevitable discovery rules, which was arguably available in *Segura*, and which is clearly absent here. That rationale concerns deterrence. The threat of inadmissibility deters unlawful police behavior; and the existence of an exception applicable where evidence is found through an untainted independent route will rarely undercut that deterrence. That is because the police can rarely rely upon such an exception—at least not often enough to change the deterrence calculus. See *Murray*, 487 U. S., at 540 (“We see the incentives differently. An officer with probable cause sufficient to obtain a search warrant would be foolish to enter the premises in an unlawful manner. By doing so, he would risk suppression of all evidence on the premises . . .”); *Nix*, 467 U. S., at 445 (“A police officer who is faced with the opportunity to obtain evidence illegally will rarely, if ever, be in a position to calculate whether the evidence sought would inevitably be discovered”); *id.*, at 444 (“If the prosecution can establish by a preponderance of the evidence that the information ultimately or inevitably would have been discovered by lawful means—here the volunteers’ search—then the deterrence rationale has so little basis that the evidence should be received”).

Segura’s police officers would have been foolish to have entered the apartment unlawfully with the *ex ante* hope that an independent causal chain of events would later

BREYER, J., dissenting

occur and render admissible the evidence they found. By way of contrast, today's holding will seriously undermine deterrence in knock-and-announce cases. Officers will almost always know *ex ante* that they can ignore the knock-and-announce requirement without risking the suppression of evidence discovered after their unlawful entry. That fact is obvious, and this Court has never before today—not in *Segura* or any other post-*Weeks* (or post-*Mapp*) case—refused to apply the exclusionary rule where its absence would so clearly and so significantly impair government officials' incentive to comply with comparable Fourth Amendment requirements.

Neither does *New York v. Harris*, *supra*, support the Court's result. See *ante*, at 6, 14; but see *ante*, at 3 (opinion of KENNEDY, J.) (declining to join section relying on *Harris*). In *Harris*, police officers arrested the defendant at his home without a warrant, in violation of *Payton v. New York*, 445 U. S. 573 (1980). Harris made several incriminating statements: a confession in his home, a written inculpatory statement at the stationhouse, and a videotaped interview conducted by the district attorney at the stationhouse. 495 U. S., at 16. The trial court suppressed the statements given by Harris in the house and on the videotape, and the State did not challenge either of those rulings. *Ibid.* The sole question in the case was whether the written statement given later at the stationhouse should also have been suppressed. The Court held that this later, outside-the-home statement “was admissible because Harris was in legal custody . . . and because the statement, while the product of an arrest and being in custody, was not the fruit of the fact that the arrest was made in the house rather than someplace else.” *Id.*, at 20. Immediately after the Court stated its holding, it explained:

“To put the matter another way, suppressing the

BREYER, J., dissenting

statement taken outside the house would not serve the purpose of the rule that made Harris' in-house arrest illegal. The warrant requirement for an arrest in the home *is imposed to protect the home, and anything incriminating the police gathered from arresting Harris in his home, rather than elsewhere, has been excluded, as it should have been;* the purpose of the rule has thereby been vindicated." *Ibid.* (emphasis added).

How can JUSTICE SCALIA maintain that the evidence here—a gun and drugs seized in the home—is “not the fruit” of the illegal entry? *Ante*, at 14. The officers' failure to knock and announce rendered the entire search unlawful, *Wilson*, 514 U. S., at 936, and that unlawful search led to the discovery of evidence in petitioner's home. Thus, *Harris* compels the opposite result than that reached by the Court today. Like the *Payton* rule at issue in *Harris*, the knock-and-announce rule reflects the “reverence of the law for the individual's right of privacy in his house.” *Miller*, 357 U. S., at 313; cf. *Harris*, 495 U. S., at 17 (“*Payton* itself emphasized that our holding in that case stemmed from the ‘overriding respect for the sanctity of the home that has been embedded in our traditions since the origins of the Republic’”). Like the confession that was “excluded, as it should have been,” in *Harris*, *id.*, at 20, the evidence in this case was seized in the home, immediately following the illegal entry. And like *Harris*, nothing in petitioner's argument would require the suppression of evidence obtained *outside* the home following a knock-and-announce violation should be suppressed, precisely because officers have a remaining incentive to follow the rule to avoid the suppression of any evidence obtained from the very place they are searching. Cf. *ibid.* (“Even though we decline to suppress statements made outside the home following a *Payton* violation, the principle incentive to obey *Payton* still obtains: the police know that a war-

BREYER, J., dissenting

rantless entry will lead to the suppression of any evidence found, or statements taken, inside the home”).

I concede that *United States v. Ramirez*, 523 U. S. 65, offers the majority its last best hope. *Ante*, at 14–15. But not even that case can offer the majority significant support. The majority focuses on the Court’s isolated statement that “destruction of property in the course of a search may violate the Fourth Amendment, *even though the entry itself is lawful and the fruits of the search are not subject to suppression.*” *Ramirez, supra*, at 71 (emphasis added). But even if I accept this dictum, the entry here is unlawful, not lawful. *Wilson*, 514 U. S., at 931, 934. It is one thing to say (in an appropriate case) that destruction of property after proper entry has nothing to do with discovery of the evidence, and to refuse to suppress. It would be quite another thing to say that improper entry had nothing to do with discovery of the evidence in this case. Moreover, the deterrence analysis for the property destruction cases (where, by definition, there will almost always be quantifiable damages) might well differ.

IV

There is perhaps one additional argument implicit in the majority’s approach. The majority says, for example, that the “cost” to a defendant of “entering this lottery,” *i.e.*, of claiming a “knock-and-announce” violation, “would be small, but the jackpot enormous”—namely, a potential “get-out-of-jail-free card.” *Ante*, at 8. It adds that the “social costs” of applying the exclusionary rule here are not worth the deterrence benefits. *Ante*, at 13. Leaving aside what I believe are invalid arguments based on precedent or the majority’s own estimate that suppression is not necessary to deter constitutional violations, one is left with a simple unvarnished conclusion, namely, that in this kind of case, a knock-and-announce case, “[r]esort to the massive remedy of suppressing evidence of guilt is

BREYER, J., dissenting

unjustified.” *Ibid.* Why is that judicial judgment, taken on its own, inappropriate? Could it not be argued that the knock-and-announce rule, a subsidiary Fourth Amendment rule, is simply not important enough to warrant a suppression remedy? Could the majority not simply claim that the suppression game is not worth the candle?

The answer, I believe, is “no.” That “no” reflects history, a history that shows the knock-and-announce rule is important. See *Wilson, supra*, at 931–936. That “no” reflects precedent, precedent that shows there is no pre-existing legal category of exceptions to the exclusionary rule into which the knock-and-announce cases might fit. See *supra*, at 8–9. That “no” reflects empirical fact, experience that provides confirmation of what common sense suggests: without suppression there is little to deter knock-and-announce violations. See *supra*, at 6–7.

There may be instances in the law where text or history or tradition leaves room for a judicial decision that rests upon little more than an unvarnished judicial instinct. But this is not one of them. Rather, our Fourth Amendment traditions place high value upon protecting privacy in the home. They emphasize the need to assure that its constitutional protections are effective, lest the Amendment ‘sound the word of promise to the ear but break it to the hope.’ They include an exclusionary principle, which since *Weeks* has formed the centerpiece of the criminal law’s effort to ensure the practical reality of those promises. That is why the Court should assure itself that any departure from that principle is firmly grounded in logic, in history, in precedent, and in empirical fact. It has not done so. That is why, with respect, I dissent.

Appendix to opinion of BREYER, J.

APPENDIX TO OPINION OF BREYER, J.

Fourth Amendment decisions from 1914 to present requiring suppression of evidence seized (or remanding for lower court to make suppression determination) in a private home following an illegal arrest or search:

1. *Weeks v. United States*, 232 U. S. 383 (1914) (warrantless search)
2. *Amos v. United States*, 255 U. S. 313 (1921) (warrantless arrest and search)
3. *Agnello v. United States*, 269 U. S. 20 (1925) (warrantless search)
4. *Byars v. United States*, 273 U. S. 28 (1927) (invalid warrant)
5. *United States v. Berkeness*, 275 U. S. 149 (1927) (invalid warrant; insufficient affidavit)
6. *Taylor v. United States*, 286 U. S. 1 (1932) (warrantless search)
7. *Grau v. United States*, 287 U. S. 124 (1932) (invalid warrant; insufficient affidavit)
8. *Nathanson v. United States*, 290 U. S. 41 (1933) (invalid warrant; insufficient affidavit)
9. *McDonald v. United States*, 335 U. S. 451 (1948) (warrantless arrest and search)
10. *Kremen v. United States*, 353 U. S. 346 (1957) (*per curiam*) (warrantless search)
11. *Elkins v. United States*, 364 U. S. 206 (1960) (search beyond scope of warrant)
12. *Silverman v. United States*, 365 U. S. 505 (1961) (warrantless use of electronic device)
13. *Chapman v. United States*, 365 U. S. 610 (1961) (warrantless search)
14. *Mapp v. Ohio*, 367 U. S. 643 (1961) (warrantless search)
15. *Wong Sun v. United States*, 371 U. S. 471 (1963) (warrantless search and arrest)

Appendix to opinion of BREYER, J.

16. *Fahy v. Connecticut*, 375 U. S. 85 (1963) (warrantless search)
17. *Aguilar v. Texas*, 378 U. S. 108 (1964) (invalid warrant; insufficient affidavit)
18. *Stanford v. Texas*, 379 U. S. 476 (1965) (invalid warrant; particularity defect)
19. *James v. Louisiana*, 382 U. S. 36 (1965) (*per curiam*) (warrantless search)
20. *Riggan v. Virginia*, 384 U. S. 152 (1966) (*per curiam*) (invalid warrant; insufficient affidavit)
21. *Bumper v. North Carolina*, 391 U. S. 543 (1968) (lack of valid consent to search)
22. *Recznik v. City of Lorain*, 393 U. S. 166 (1968) (*per curiam*) (warrantless search)
23. *Chimel v. California*, 395 U. S. 752 (1969) (invalid search incident to arrest)
24. *Von Cleef v. New Jersey*, 395 U. S. 814 (1969) (*per curiam*) (invalid search incident to arrest)
25. *Shipley v. California*, 395 U. S. 818 (1969) (*per curiam*) (invalid search incident to arrest)
26. *Vale v. Louisiana*, 399 U. S. 30 (1970) (invalid search incident to arrest)
27. *Connally v. Georgia*, 429 U. S. 245 (1977) (*per curiam*) (invalid warrant; magistrate judge not neutral)
28. *Michigan v. Tyler*, 436 U. S. 499 (1978) (warrantless search)
29. *Mincey v. Arizona*, 437 U. S. 385 (1978) (warrantless search)
30. *Franks v. Delaware*, 438 U. S. 154 (1978) (invalid warrant; obtained through perjury)
31. *Payton v. New York*, 445 U. S. 573 (1980) (warrantless arrest)
32. *Steagald v. United States*, 451 U. S. 204 (1981) (warrantless search)
33. *Michigan v. Clifford*, 464 U. S. 287 (1984) (war-

Appendix to opinion of BREYER, J.

- rarrantless search)
34. *Welsh v. Wisconsin*, 466 U. S. 740 (1984) (warrantless entry into home without exigent circumstances)
 35. *Thompson v. Louisiana*, 469 U. S. 17 (1984) (*per curiam*) (warrantless search)
 36. *Arizona v. Hicks*, 480 U. S. 321 (1987) (unreasonable search)
 37. *Minnesota v. Olson*, 495 U. S. 91 (1990) (warrantless entry into home)
 38. *Flippo v. West Virginia*, 528 U. S. 11 (1999) (*per curiam*) (warrantless search)
 39. *Kyllo v. United States*, 533 U. S. 27 (2001) (warrantless use of heat-imaging technology)
 40. *Kirk v. Louisiana*, 536 U. S. 635 (2002) (*per curiam*) (warrantless arrest and search)
 41. *Kaupp v. Texas*, 538 U. S. 626 (2003) (*per curiam*) (warrantless search)