§ 221. Future-years defense program: submission to Congress; consistency in budgeting

(a) The Secretary of Defense shall submit to Congress each year, at or about the time that the President’s budget is submitted to Congress that year under section 1105(a) of title 31, a future-years defense program (including associated annexes) reflecting the estimated expenditures and proposed appropriations included in that budget. Any such future-years defense program shall cover the fiscal year with respect to which the budget is submitted and at least the four succeeding fiscal years.

(b) (1) The Secretary of Defense shall ensure that amounts described in subparagraph (A) of paragraph (2) for any fiscal year are consistent with amounts described in subparagraph (B) of paragraph (2) for that fiscal year.

(2) Amounts referred to in paragraph (1) are the following:

(A) The amounts specified in program and budget information submitted to Congress by the Secretary in support of expenditure estimates and proposed appropriations in the budget submitted to Congress by the President under section 1105(a) of title 31 for any fiscal year, as shown in the future-years defense program submitted pursuant to subsection (a).

(B) The total amounts of estimated expenditures and proposed appropriations necessary to support the programs, projects, and activities of the Department of Defense included pursuant to paragraph (5) of section 1105(a) of title 31 in the budget submitted to Congress under that section for any fiscal year.

(c) Nothing in this section shall be construed to prohibit the inclusion in the future-years defense program of amounts for management contingencies, subject to the requirements of subsection (b).


Prior Provisions

A prior section 221 was renumbered section 226 of this title.

Amendments

1992—Pub. L. 102–484 renumbered section 114a of this title as this section, amended section catchline generally, and substituted “future-years” for “multiyear” wherever appearing in text.

1990—Pub. L. 101–510, § 1402(a)(1)–(3)(A), which substituted “Multiyear” for “Five-year”, inserted at end “Any such multiyear defense program shall cover the fiscal year with respect to which the budget is submitted and at least the four succeeding fiscal years.”

Subsecs. (a), Pub. L. 101–510, § 1402(a)(1), (2), substituted “a multiyear” for “the current five-year” and inserted at end “Any such multiyear defense program shall cover the fiscal year with respect to which the budget is submitted and at least the four succeeding fiscal years.”


Separate Procurement Line Item for Certain Littoral Combat Ship Mission Modules


“(a) In General.—In the budget materials submitted to the President by the Secretary of Defense in connection with the submission to Congress, pursuant to section 1105 of title 31, United States Code, of the budget for fiscal year 2013, and each subsequent fiscal year, the Secretary shall ensure that a separate, dedicated procurement line item is designated for each covered module that includes the quantity and cost of each such module requested.
“(b) Form.—The Secretary shall ensure that any classified components of covered modules not included in a procurement line item under subsection (a) shall be included in a classified annex.

“(c) Covered Module.—In this section, the term ‘covered module’ means, with respect to mission modules of the Littoral Combat Ship, the following modules:

“(1) Surface warfare.

“(2) Mine countermeasures.

“(3) Anti-submarine warfare.”

**Display of Procurement of Equipment for the Reserve Components of the Armed Forces Under Estimated Expenditures for Procurement in Future-Years Defense Programs**

Pub. L. 112–81, div. A, title X, § 1003A, Dec. 31, 2011, 125 Stat. 1556, provided that: “Each future-years defense program submitted to Congress under section 221 of title 10, United States Code, shall, in setting forth estimated expenditures and item quantities for procurement for the Armed Forces for the fiscal years covered by such program, display separately under such estimated expenditures and item quantities the estimated expenditures for each such fiscal year for equipment for each reserve component of the Armed Forces that will receive items in any fiscal year covered by such program.”

**Display of Annual Budget Requirements for Organizational Clothing and Individual Equipment**


“(a) Submission With Annual Budget Justification Documents.—For fiscal year 2013 and each subsequent fiscal year, the Secretary of Defense shall submit to the President, for inclusion with the budget materials submitted to Congress under section 1105 (a) of title 31, United States Code, a budget justification display that covers all programs and activities associated with the procurement of organizational clothing and individual equipment.

“(b) Requirements for Budget Display.—The budget justification display under subsection (a) for a fiscal year shall include the following:

“(1) The funding requirements in each budget activity and for each Armed Force for organizational clothing and individual equipment.

“(2) The amount in the budget for each of the Armed Forces for organizational clothing and equipment for that fiscal year.

“(c) Definition.—In this section, the term ‘organizational clothing and individual equipment’ means an item of organizational clothing or equipment prescribed for wear or use with the uniform.”

**Separate Program Elements Required for Research and Development of Joint Light Tactical Vehicle**

Pub. L. 111–383, div. A, title II, § 213, Jan. 7, 2011, 124 Stat. 4163, provided that: “In the budget materials submitted to the President by the Secretary of Defense in connection with the submission to Congress, pursuant to section 1105 of title 31, United States Code, of the budget for fiscal year 2012, and each subsequent fiscal year, the Secretary shall ensure that within each research, development, test, and evaluation account of the Army and the Navy a separate, dedicated program element is assigned to the Joint Light Tactical Vehicle.”

**Separate Procurement Line Item for Body Armor**

Pub. L. 111–84, div. A, title I, § 141(b), Oct. 28, 2009, 123 Stat. 2223, provided that: “In the budget materials submitted to the President by the Secretary of Defense in connection with the submission to Congress, pursuant to section 1105 of title 31, United States Code, of the budget for fiscal year 2011, and each subsequent fiscal year, the Secretary shall ensure that within each military department procurement account, a separate, dedicated procurement line item is designated for body armor.”

**Separate Program Elements Required for Research and Development of Individual Body Armor and Associated Components**

Pub. L. 111–84, div. A, title II, § 216, Oct. 28, 2009, 123 Stat. 2227, provided that: “In the budget materials submitted to the President by the Secretary of Defense in connection with the submission to Congress, pursuant to section 1105 of title 31, United States Code, of the budget for fiscal year 2011, and each subsequent fiscal year, the Secretary shall
ensure that within each research, development, test, and evaluation account of each military department a separate, dedicated program element is assigned to the research and development of individual body armor and associated components.”

**Separate Procurement and Research, Development, Test, and Evaluation Line Items and Program Elements for the F–35B and F–35C Joint Strike Fighter Aircraft**

Pub. L. 111–84, div. A, title II, § 217, Oct. 28, 2009, 123 Stat. 2228, provided that: “In the budget materials submitted to the President by the Secretary of Defense in connection with the submission to Congress, pursuant to section 1105 of title 31, United States Code, of the budget for fiscal year 2011, and each subsequent fiscal year, the Secretary shall ensure that within the Navy research, development, test, and evaluation account and the Navy aircraft procurement account, a separate, dedicated line item and program element is assigned to each of the F-35B aircraft and the F-35C aircraft, to the extent that such accounts include funding for each such aircraft.”

**Guidance on Budget Justification Materials Describing Funding Requested for Operation, Sustainment, Modernization, and Personnel of Major Ranges and Test Facilities**


“(a) Guidance on Budget Justification Materials.—The Secretary of Defense, acting through the Under Secretary of Defense (Comptroller) and the Director of the Department of Defense Test Resource Management Center, shall issue guidance clarifying and standardizing the information required in budget justification materials describing amounts to be requested in the budget of the President for a fiscal year (as submitted to Congress pursuant to section 1105 (a) of title 31, United States Code) for funding for each facility and resource of the Major Range and Test Facility Base in connection with each of the following:

“(1) Operation.
“(2) Sustainment.
“(3) Investment and modernization.
“(4) Government personnel.
“(5) Contractor personnel.

“(b) Applicability.—The guidance issued under subsection (a) shall apply with respect to budgets of the President for fiscal years after fiscal year 2010.

“(c) Major Range and Test Facility Base Defined.—In this section, the term ‘Major Range and Test Facility Base’ has the meaning given that term in section 196 (h) of title 10, United States Code.”

**Military Munitions Response Program and Installation Restoration Program**

Pub. L. 111–84, div. A, title III, § 318(b), Oct. 28, 2009, 123 Stat. 2250, provided that: “As part of the annual budget submission of the Secretary of Defense to Congress, the Secretary shall include the funding levels requested for the Military Munitions Response Program and the Installation Restoration Program.”

**Separate Procurement Line Items for Future Combat Systems Program**

Pub. L. 110–417, [div. A], title I, § 111, Oct. 14, 2008, 122 Stat. 4373, provided that: “Effective for the budget of the President submitted to Congress under section 1105 (a) of title 31, United States Code, for fiscal year 2011 and for each fiscal year thereafter, the Secretary of Defense shall ensure that a separate, dedicated procurement line item is designated for each of the following elements of the Future Combat Systems program (in this section referred to as ‘FCS’), to the extent the budget includes funding for such elements:

“(1) FCS Manned Ground Vehicles.
“(2) FCS Unmanned Ground Vehicles.
“(3) FCS Unmanned Aerial Systems.
“(4) FCS Unattended Ground Systems.
“(5) Other FCS elements.”
Separate Procurement and Research, Development, Test, and Evaluation Line Items and Program Elements for Sky Warrior Unmanned Aerial Systems Project

Pub. L. 110–417, [div. A], title II, § 214, Oct. 14, 2008, 122 Stat. 4386, provided that: “Effective for fiscal year 2010 and for each fiscal year thereafter, the Secretary of Defense shall ensure that, in the annual budget submission of the Department of Defense to the President, within both the account for procurement and the account for research, development, test, and evaluation, a separate, dedicated line item and program element is designated for the Sky Warrior Unmanned Aerial Systems project, to the extent such accounts include funding for such project.”

Display of Annual Budget Requirements for Air Sovereignty Alert Mission


“(a) Submission With Annual Budget Justification Documents.—For fiscal year 2010 and each subsequent fiscal year, the Secretary of Defense shall submit to the President, for consideration by the President for inclusion with the budget materials submitted to Congress under section 1105 (a) of title 31, United States Code, a consolidated budget justification display that covers all programs and activities of the Air Sovereignty Alert mission of the Air Force.

“(b) Requirements for Budget Display.—The budget display under subsection (a) for a fiscal year shall include for such fiscal year the following:

“(1) The funding requirements for the Air Sovereignty Alert mission, and the associated Command and Control mission, including such requirements for—

“(A) military personnel costs;

“(B) flying hours; and

“(C) any other associated mission costs.

“(2) The amount in the budget for the Air Force for each of the items referred to in paragraph (1).

“(3) The amount in the budget for the Air National Guard for each such item.”

Requirement for Separate Display of Budgets for Afghanistan and Iraq


“(a) Operations in Iraq and Afghanistan.—In any annual or supplemental budget request for the Department of Defense that is submitted to Congress after the date of the enactment of this Act [Oct. 14, 2008], the Secretary of Defense shall set forth separately any funding requested in such budget request for—

“(1) operations of the Department of Defense in Afghanistan; and

“(2) operations of the Department of Defense in Iraq.

“(b) Specificity of Display.—Each budget request covered by subsection (a) shall, for any funding requested for operations in Iraq or Afghanistan—

“(1) clearly display the amount of such funding at the appropriation account level and at the program, project, or activity level; and

“(2) include a detailed description of the assumptions underlying the funding for the period covered by the budget request, including the anticipated troop levels, the operations intended to be carried out, and the equipment reset requirements necessary to support such operations.”

Report on Funding of the Department of Defense for Health Care


“(a) Report.—If the President submits to Congress the budget for a fiscal year under section 1105 of title 31, United States Code, and the aggregate amount included in that budget for the Department of Defense for health care for such fiscal year is less than the aggregate amount provided by Congress for the Department for health care for the preceding fiscal year, and, in the case of the Department, the total allocation from the Defense Health Program to any military department is less than the total of such allocation in the preceding fiscal year, the President shall submit to Congress a report on—

“(1) the reasons for the determination that inclusion of a lesser aggregate amount or allocation to any military department is in the national interest; and

“(2) the anticipated effects of the inclusion of such lesser aggregate amount or allocation to any military department on the access to and delivery of medical and support services to members of the Armed Forces and their family members.
“(b) Termination.—The section shall not be in effect after December 31, 2017.”

**Specification of Amounts Requested for Procurement of Contract Services**


**Report on Major Department of Defense Headquarters Activities Personnel**

Pub. L. 110–181, div. A, title IX, § 901(b), (c), Jan. 28, 2008, 122 Stat. 272, which required that the Secretary of Defense include a report with the defense budget materials for each fiscal year concerning the number of military personnel and civilian employees of the Department of Defense assigned to major headquarters activities for each component of the Department, any increase in personnel assigned to major headquarters activities attributable to certain reasons, and any cost savings associated with the elimination of contracts for the performance of major headquarters activities, was repealed by Pub. L. 111–84, div. A, title XI, § 1109(b)(3), Oct. 28, 2009, 123 Stat. 2493.

**Major Force Program Category for Space**

Pub. L. 112–10, div. A, title VIII, § 8092, Apr. 15, 2011, 125 Stat. 77, provided that: “The Secretary of Defense shall create a major force program category for space for each future-years defense program of the Department of Defense submitted to Congress under section 221 of title 10, United States Code, during fiscal year 2011. The Secretary of Defense shall designate an official in the Office of the Secretary of Defense to provide overall supervision of the preparation and justification of program recommendations and budget proposals to be included in such major force program category.”

Similar provisions were contained in the following prior appropriation acts:


**Request for Funds for Ongoing Military Operation Overseas**

Pub. L. 110–116, div. A, title VIII, § 8116, Nov. 13, 2007, 121 Stat. 1340, provided that: “Any request for funds for a fiscal year after fiscal year 2008 for an ongoing military operation overseas, including operations in Afghanistan and Iraq, shall be included in the annual budget of the President for such fiscal year as submitted to Congress under section 1105 (a) of title 31, United States Code.”


**Budgeting for Ongoing Military Operations in Afghanistan and Iraq**


“(1) a request for the appropriation of funds for such fiscal year for ongoing military operations in Afghanistan and Iraq;

“(2) an estimate of all funds expected to be required in that fiscal year for such operations; and

“(3) a detailed justification of the funds requested.”

**Separate Program Elements Required for Significant Systems Development and Demonstration Projects for Armored Systems Modernization Program**

“(a) Program Elements Specified.—Effective for the budget of the President submitted to Congress under section 1105 (a) of title 31, United States Code, for fiscal year 2008 and each fiscal year thereafter, the Secretary of Defense shall ensure that a separate, dedicated program element is assigned to each of the following systems development and demonstration projects of the Armored Systems Modernization program:

“(1) Manned Ground Vehicles.

“(2) Systems of Systems Engineering and Program Management.

“(3) Future Combat Systems Reconnaissance Platforms and Sensors.

“(4) Future Combat Systems Unmanned Ground Vehicles.

“(5) Unattended Sensors.

“(6) Sustainment.

“(b) Early Commencement of Display in Budget Justification Materials.—As part of the budget justification materials submitted to Congress in support of the Department of Defense budget for fiscal year 2007, as submitted with the budget of the President under such section 1105 (a), the Secretary of the Army shall set forth the budget justification material for the systems development and demonstration projects of the Armored Systems Modernization program identified in subsection (a) as if the projects were already separate program elements.

“(c) Technology Insertion to Current Force.—

“(1) Report on establishment of additional program element.—Not later than June 1, 2006, the Secretary of the Army shall submit a report to the congressional defense committees [Committees on Armed Services and Appropriations of the Senate and the House of Representatives] describing the manner in which the costs of integrating Future Combat Systems capabilities into current force programs could be assigned to a separate, dedicated program element and any management issues that would be raised as a result of establishing such a program element.

“(2) Display in budget justification materials.—As part of the budget justification materials submitted to Congress in support of the Department of Defense budget for fiscal year 2007 and each fiscal year thereafter, as submitted with the budget of the President under such section 1105 (a), the Secretary of the Army shall set forth the budget justification material for technology insertion to the current force under the Armored Systems Modernization program.”

Annual Submission of Information Regarding Information Technology Capital Assets


“(a) Requirement To Submit Information.—Not later than 30 days after the date on which the President submits the budget for a fiscal year to Congress pursuant to section 1105 of title 31, United States Code, the Secretary of Defense shall submit to Congress information on the following information technology capital assets, including information technology capital assets that are a national security system, of the Department of Defense:

“(1) Information technology capital assets that have an estimated total cost for the fiscal year for which the budget is submitted in excess of $30,000,000 or an estimated total cost (as computed in fiscal year 2003 constant dollars) in excess of $120,000,000.

“(2) Information technology capital assets not covered by paragraph (1) that have been determined by the Chief Information Officer of the Department of Defense to be significant investments.

“(b) Required Information For High-Threshold Assets.—With respect to each information technology capital asset described in subsection (a)(1), the Secretary of Defense shall include the following information:

“(1) The name and identifying acronym of the information technology capital asset.

“(2) The date of initiation of the asset.

“(3) A summary of performance measurements and metrics.

“(4) The total amount of funds, by appropriation account, appropriated and obligated for prior fiscal years, with a specific breakout of such information for the two preceding fiscal years.

“(5) The funds, by appropriation account, requested for the next fiscal year.

“(6) The name of each prime contractor and the work to be performed.

“(7) Program management and management oversight information.

“(8) The original baseline cost and most current baseline information.
“(9) Information regarding compliance with the provisions of law enacted or amended by the Government Performance
and §§ 4001–4402, see Tables for classification].

“(c) Required Information for Significant Investments.—With respect to each information technology capital asset not
covered by paragraph (1) of subsection (a), but covered by paragraph (2) of that subsection, the Secretary of Defense
shall include such information in a format that is appropriate to the current status of such asset.

“(d) Total Cost Determinations.—In estimating the total cost for a fiscal year or total cost of an information technology
capital asset, the Secretary of Defense shall consider research and development costs, procurement costs, and operation
and maintenance costs related to the information technology capital asset.

“(e) Definitions.—In this section:

“(1) The term ‘information technology’ has the meaning given that term in section 11101 of title 40, United States
Code.

“(2) The term ‘capital asset’ has the meaning given that term in Office of Management and Budget Circular A–11.

“(3) The term ‘national security system’ has the meaning given that term in section 11103 of title 40, United States
Code.”

**Department of Defense Requests for Funds for Environmental Restoration at BRAC Sites in Future Fiscal Years**


“(a) Requests for Funds for Environmental Restoration at BRAC Sites in Future Fiscal Years.—In the budget
justification materials submitted to Congress in support of the Department of Defense budget for any fiscal year
after fiscal year 2003, the amount requested for environmental restoration, waste management, and environmental
compliance activities in such fiscal year with respect to military installations approved for closure or realignment under
the base closure laws shall accurately reflect the anticipated cost of such activities in such fiscal year.

“(b) Base Closure Laws Defined.—In this section, the term ‘base closure laws’ means the following:

“(1) Section 2687 of title 10, United States Code.

2687 note ).

“(3) Title II of the Defense Authorization Amendments and Base Closure and Realignment Act (Public Law 100–526;
10 U.S.C. 2687 note ).”

Similar provisions were contained in the following prior appropriation act:


**Budget Justification Documents for Costs of Armed Forces’ Participation in Contingency Operations**

year 2004 submitted to the Congress pursuant to section 1105 of title 31, United States Code, and each annual budget
request thereafter, shall include separate budget justification documents for costs of United States Armed Forces’
participation in contingency operations for the Military Personnel accounts, the Overseas Contingency Operations
Transfer Fund, the Operation and Maintenance accounts, and the Procurement accounts: Provided, That these budget
justification documents shall include a description of the funding requested for each anticipated contingency operation,
for each military service, to include active duty and Guard and Reserve components, and for each appropriation
account: Provided further, That these documents shall include estimated costs for each element of expense or object
class, a reconciliation of increases and decreases for ongoing contingency operations, and programmatic data including,
but not limited to troop strength for each active duty and Guard and Reserve component, and estimates of the major
weapons systems deployed in support of each contingency: Provided further, That these documents shall include
budget exhibits OP–5 and OP–32, as defined in the Department of Defense Financial Management Regulation, for the
Overseas Contingency Operations Transfer Fund for fiscal years 2002 and 2003.”

Similar provisions were contained in the following appropriation acts:


Budget Submissions on Active and Reserve Military Personnel Accounts

Pub. L. 105–262, title VIII, § 8093, Oct. 17, 1998, 112 Stat. 2319, provided that: “At the time the President submits his budget for fiscal year 2000 and any fiscal year thereafter, the Department of Defense shall transmit to the congressional defense committees [Committee on Armed Services and Subcommittee on National Security of the Committee on Appropriations of the House of Representatives and Committee on Armed Services and Subcommittee on Defense of the Committee on Appropriations of the Senate] a budget justification document for the active and reserve Military Personnel accounts, to be known as the ‘M–1’, which shall identify, at the budget activity, activity group, and subactivity group level, the amounts requested by the President to be appropriated to the Department of Defense for military personnel in any budget request, or amended budget request, for that fiscal year.”

Similar provisions were contained in the following prior appropriation act:


Modification of Budget Data Exhibits

Pub. L. 105–85, div. A, title III, § 324(c), Nov. 18, 1997, 111 Stat. 1678, provided that: “The Under Secretary of Defense (Comptroller) shall ensure that aircraft budget data exhibits of the Department of Defense that are submitted to Congress display total numbers of active aircraft where numbers of primary aircraft or primary authorized aircraft are displayed in those exhibits.”

Inclusion of Air Force Depot Maintenance as Operation and Maintenance Budget Line Items

Pub. L. 105–85, div. A, title III, § 327, Nov. 18, 1997, 111 Stat. 1679, provided that: “For fiscal year 1999 and each fiscal year thereafter, Air Force depot-level maintenance of materiel shall be displayed as one or more separate line items under each subactivity within the authorization request for operation and maintenance, Air Force, in the proposed budget for that fiscal year submitted to Congress pursuant to section 1105 of title 31, United States Code.”

Identification in President’s Budget of NATO Costs

Pub. L. 106–79, title VIII, § 8091, Oct. 25, 1999, 113 Stat. 1253, provided that: “The budget of the President for fiscal year 2001 submitted to the Congress pursuant to section 1105 of title 31, United States Code, and each annual budget request thereafter, shall include budget activity groups (known as ‘subactivities’) in all appropriations accounts provided in this Act [see Tables for classification], as may be necessary, to separately identify all costs incurred by the Department of Defense to support the North Atlantic Treaty Organization and all Partnership For Peace programs and initiatives. The budget justification materials submitted to the Congress in support of the budget of the Department of Defense for fiscal year 2001, and subsequent fiscal years, shall provide complete, detailed estimates for all such costs.”

Similar provisions were contained in the following prior appropriation acts:


Program Elements for Ballistic Missile Defense Organization

Budget Submissions on Salaries and Expenses Related to Administrative Activities

Pub. L. 109–148, div. A, title VIII, § 8032, Dec. 30, 2005, 119 Stat. 2705, provided that: “The President shall include with each budget for a fiscal year submitted to the Congress under section 1105 of title 31, United States Code, and hereafter, materials that shall identify clearly and separately the amounts requested in the budget for appropriation for that fiscal year for salaries and expenses related to administrative activities of the Department of Defense, the military departments, and the defense agencies.”

Similar provisions were contained in the following prior appropriation acts:


Submission of Multiyear Defense Program

Section 1402(b) of Pub. L. 101–510 provided for limitations on obligation by Secretary of Defense of fiscal year 1991 advance procurement funds if, as of end of 90-day period beginning on date on which President’s budget for fiscal year 1992 was submitted to Congress, the Secretary had not submitted to Congress fiscal year 1992 multiyear defense program.

Mission Oriented Presentation of Department of Defense Matters in Budget